#6 BT 3628



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TEADEMAN	E CITIES OTTERS TATELY	AND HANDEMARK OFFIC	<u>.</u>
In re application of:	Getty		
Serial No.:	10/092,005	Art Unit: Unknown	
Filing Date:	March 6, 2002	Examiner: Unknown	
For:	System and Method for		
	Providing Liquidity		
Docket No.	314963		·
Commissioner for Pa Alexandria, VA 223			
the United States Po	this correspondence is being dostal Service as first-class mail Patents, Alexandria VA, 22313,		with
	Sa	ally B. Hansen	
Applie the documents:	INFORMATION DISCLO UNDER 37 Controls herein make available to		ce copies of
☑	listed on enclosed Form PTC accordance with 37 CFR 1.98	0/SB/08A/B (Formerly PTO-148(a)(2); and	49), in
	PTO/SB/08A/B, which forms applications to which this applications to which this applications to S120, but have been data. Pursuant to 37 CFR 1.9 DOCUMENTS ARE ENCLOTHE source of these documents.	Forms PTO-1449, PTO-892 or so recited the application inform plication claims priority under en edited to indicate the current P8(d), NO COPIES OF THESE OSED. The prior applications to sare:	application
	Serial No.		OCT 0 9 2003
 -	Serial No.	, filed; er carefully the complete text o	GROUP 360
The E	xaminer is requested to consid	er carefully the complete text of	of these

The Examiner is requested to consider carefully the complete text of the references in connection with the examination of this application. It is believed that the

Examiner will concur with Applicant's belief that the foregoing references do not adversely affect the patentability of the subject matter presently claimed, taken alone or in combination.

It is requested that the listed references be included in the "References Cited" portion of any patent issuing from this application.

(1)

(2)

Under 37 CFR 1.97(i), Applicants understand that non-complying Information Disclosure Statements will be placed in the file but not considered by the Office, however, under Reply to Comment 8 of the Federal Register, page 2024, Applicants will be informed when information is not considered.

This Information Disclosure Statement is being filed (one of a - e):							
(a)		within three months of the filing date of the patent application.					
(b)		within three months of the date of entry into the national stage as set forth in 37 CFR 1.491 of the international application.					
(c)		before the mailing date of a first Office Action on the merits.					
(d)		after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action under 37 CFR 1.116 or a Notice of Allowance under 37 CFR 1.311, and accordingly is accompanied by:					
		the Statement under 37 CFR 1.97(e) (see "Statement" below);					
or							
		the Fee of \$180.00 set forth in 37 CFR 1.17(p); or					
(e)		after the period set forth above in (d) and after mailing date of a Final Office Action under 37 CFR 1.116 or a Notice of Allowance under 37 CFR 1.311, and accordingly is accompanied by:					
	\boxtimes	the Statement under 37 CFR 1.97(e) (see "Statement" below);					
		and					
		the Fee of \$180.00 set forth in 37 CFR 1.17(p); or					
Stateme	ent						
	cont com pate	undersigned attorney hereby states that each item information ained in the Information Disclosure Statement was cited in a munication from a foreign patent office in a counterpart foreign nt application not more than three months prior to the filing of the rmation Disclosure Statement.					

(If thi	is box is checked, do not check any further Statement boxes.)
	or
	The person signing immediately below hereby states that no item of information contained in the Information Disclosure was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
The pe	erson making the statement is:
	the inventor(s) who sign(s) below:
	Signature of the Inventor(s)
	Typed or Printed Name of the Inventor(s)
	or
	a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor(s), or with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR 1.565(c)) and who signs below:
	Signature of the Person(s)
	Typed or Printed Name of the Person
	Address of Person Signing
	or
\boxtimes	the undersigned attorney who signs on the basis of the information:
	supplied by the inventor(s).
	supplied by an individual designated in 37 CFR 1.56(c).
/	in the attorney's file.
	& Efact
	Signature of the Attorney

(3)	Fees						
	\boxtimes	No Fee is owed by the applicants.					
		The IDS Fee of \$180.00 under 37 CFR 1.17(p) is enclosed herewith.					
(4)	Method	of Payment of Fees					
		Attached is a check in the amount of \$					
		Charge Account No. 04-0480 in the amount of \$180.00. (A duplicate of this request is attached).					
(5)	Authori	zation to Charge Additional Fees					
		If any additional fees are owed in connection with this communication, please charge Deposit Account No. 04-0480.					
(6)	Instruct	tions as to Overpayment					
	\boxtimes	Please credit Account No. 04-0480 for any overpayment.					
		Please refund Dechert LLP in the amount of \$					
	Conside	ration of the Information Disclosure Statement is earnestly solicited.					
		Respectfully submitted,					
		Arthur E. Jackson Registration No. 34,354					

DECHERT LLP Princeton Pike Corporate Center PO Box 5218 Princeton, New Jersey 08543-5218 Fax: (609) 620-3259

Attn: Arthur E. Jackson (609 620-3254)

(Modified)

orm PTO - 1449 U.S. Department of Commerce Patent and Trademark Office

|Attorney Docket No. 314963 |Serial No.: 10/092,005

Applicant: Getty

|Filing Date: March 6, 2002 Group No. Unknown

Information Disclosure Statement by Applicant

(use several Sheets if necessary)

U.S. PATENT DOCUMENTS

			U.S. PAI	ENT DOCUMEN	115				
Exmr Initial		Patent Number	Issue Date	Patentee	Cla		Sub- Class	Filing If Appro	
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	A1	5,878,405	03/02/99	Grant et al.		_			
	A2	5,933,815	8/3/99	Golden					
<u>.</u>									
		FOREIGN PATEN	T OR PUBLIS	SHED FOREIGN	PATENT AF	PPLIC	CATION		
Exmr Initial		Document Number	Publn Date*	Country or Patent Office		lass	Sub- Class	Transla Yes	ation? No
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0	THER	DOCUMENTS (Includi	ing Author,	Title, Date, Perti	nent Pages	, Pla	ce of Pul	blication*	*)
	B1 PCT Search Report for US03/06822 dated July 7, 2003								
	<u> </u>								
Exami	ner				Date Cons	sider	ed		

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GROUP 3600

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO - 2)

Use publication date indicated on the patent or published application. 37 CFR 1.98(b).

The place or publication refers to the name of the journal, magazine or other publication in which the article was published. Notice of January 9, 1992, 1135 O.G. 13 to 25 at 20.